appointment shall thereupon automatically terminate. Any appointment not submitted to the Legislature in accordance with this Section shall automatically terminate as of the end of the last day available for submission. Any office to which appointment requires legislative consent which becomes vacant shall remain vacant until the Legislature consents to the appointment. [Nothing in this Section shall preclude the Governor from making an interim [or recess] appointment when the Legislature is in recess but any such appointment shall automatically terminate upon the convening or reconvening of the Legislature in regular session and no recess or interim appointee may receive the salary of the office to which he is given interim or recess appointment until he is confirmed by the Legislature; provided, however, that the confirmation of an [recess or interim] Acting appointee [may] shall automatically include approval of retroactive pay to the date of his [recess or interim] acting appointment. As to positions requiring legislative appointment, no appointment or acting appointment to fill a position which is vacant is effective until the appointment or acting appointment is transmitted to the speaker of the legislature.

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			APPOINTMENTS BILL 3
63		Sect	ion 2. A new Section 2103(a) is added to 4 GCA, to
64	read	as f	ollows:
65			"Section 2103(a) Definitions:
66		(1)	Appointing authority - either the governor of Guan
67			or any other board, commission or duly authorized
68			public entity or individual charged with the duty
69			to appoint an approved official or an approved
70			board official.
71		(2)	Appointed official - any official who is appointed
72			by the Governor.
73		(3)	Approved official - an official who is appointed
74			by the Governor with the advice and consent of the
75			Legislature.
76		(4)	Appointed position - any position which is filled
77			by appointment by the Governor.
78		(5)	Approved position - a position which is filled by
79			the Governor with the advice and consent of the
80			Legislature.
81		(6)	Appointed board official - any official who is
82			appointed by any board or commission of the
83			Government of Guam.
84		(7)	Approved board official - an official who is
85			appointed by any board or commission with the

advice and consent of the Legislature.

- (8) Official a member of a board or commission of the Government of Guam, or an officer or employee of the Government of Guam.
- (9) Undated "courtesy" resignations. The practice of requiring or submitting undated resignations to be accepted at a later date by the appointing authority is hereby declared to be contrary to public policy for any position within the Government of Guam. The Governor shall not request an undated resignation letter from any officer or employee of the Government of Guam, and no officer or employee shall submit such an undated resignation letter.
- (10) Legislative day - a day during which the Legislature is in actual session, and during which it engages or may engage in debate. Excluded are sessions in which the Legislature convenes solely for ceremonial purposes for state funerals, and addresses by the Governor, Presiding Judge, or Guam Delegate. In computing the term 'Ninety (90) Days plus 3 legislative days' or any similar terminology using a different number of days, the "plus Legislative days" shall be deemed to refer to legislative days which occur following the expiration of the ninety day period.

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(11) Acting appointment - The filling of a position by
a person who has not been appointed to fill the
position on a permanent basis, or, in the case of
positions requiring the advice and consent of the
Legislature, the situation where a person is
filling the position in an acting capacity, has
been nominated to fill the position permanently,
but has not been confirmed to the position by the
Legislature. Acting appointments include so
called "holdover" positions on boards and
agencies, such as when the enabling legislation
provides that an appointee shall serve until his
or her successor is appointed. In construing the
time limits in this act, all time as an acting
appointee shall be included together, even if such
acting time was spent in an acting position
pursuant to different appointments or as a
holdover.

- (12) Acting capacity the serving of a person in a position in an acting capacity or pursuant to an acting appointment.
- 133 (13) Salaried position any position receiving a
 134 Salary, but not including boards and commissions
 135 receiving a stipend for each meeting attended.

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(14) Meaning and computation of days. In computing the
90 day time limit imposed in this act, the term 90
days shall mean 90 days from the date of the
initial acting appointment, without regards to
weekends, holidays, nor any lapses in the acting
appointment of less than 30 days"

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Section 3. A new Section 2103(b) is added to 4 GCA, to read as follows:

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146 "Section 2103(b) Submission for Legislative 147 Advice and Consent. The appointing authority shall submit to the Speaker of the Legislature, the name of the nominee 148 149 to a position in a Nomination Letter to be hand delivered to 150 the Speaker or to the Legislative office of the Speaker. 151 The Nomination Letter shall include the following: Name; 152 position to which the appointment is made; address; 153 citizenship; criminal record, if any; age; educational 154 background; prior government service whether within or 155 without the Government of Guam; information pertinent to the 156 position's qualification; police clearance report; a record 157 of any court marshals or of any non-judicial punishment 158 inflicted under the Uniform Code of Military Justice; facts 159 concerning military service, if any, including type of 160 discharge, branch, rank at discharge, current status and

special distinctions and honors; whether nominee has been declared mentally incompetent by any court, whether within or without the United States and if so, specify in detail reasons and facts related to such declaration; whether nominee has been found not guilty or not punishable in any criminal proceedings by reason of insanity; whether nominee has been confined to a mental institution for any reason and if so, the reasons why appointing authority believes nominee is not suffering from any mental illness or affliction."

Section 4. 4 GCA Section 2103(c) is hereby enacted to read:

"Section 2103(c) Resignations from positions.

effective according to its terms unless the

(1)A resignation from any position shall be in writing ("Resignation Letter") and directed to the appointing authority, and shall, by its terms, be effective immediately or by its terms on a date certain. If no effective date is indicated, it shall be effective upon delivery to the appointing authority. Upon receipt by the appointing authority of any such resignation, the appointing authority may make the resignation effective immediately or sooner than the effective date in the resignation letter. Such resignation shall be

appointing authority, at its discretion, makes the resignation effective immediately or at sometime sooner than the resignation letter. If the position involved requires Advice and Consent of the Legislature, the appointing authority shall immediately after receipt of the resignation forward a copy of such resignation letter to the Speaker of the Legislature. Once such a resignation is delivered to the appointing authority, it may not be later withdrawn by the resigning person without the consent of the appointing authority. No acceptance of such resignation by the appointing authority is required for the resignation to become effective.

- (2) When, as to positions requiring the advice and consent of the legislature, the appointing authority and the Appointee following delivery of a resignation letter to the appointing authority, jointly decide to withdraw the resignation, the appointing authority shall submit the name of the appointee to the Legislature for a new confirmation process as a new appointee.
- (3) A letter of resignation by any officer or employee of the Government of Guam, its agencies and instrumentalities which is directed to the

Governor or directed to any of the person's supervisors shall be treated as a non-revokable resignation as if it had been directed to an appointing authority.

(4) In the case of appointments awaiting action by the Legislature for confirmation, either the appointing authority or the appointee may unilaterally request the Speaker of the Legislature to withdraw the nomination of the appointee, which withdrawal shall be effective immediately. Such a withdrawal of nomination shall have the effect of terminating any acting appointments for the position in question which the appointee may hold.

Section 5. 4 GCA Section 2103(d) is hereby enacted to read:

"Section 2103(d) Undated 'courtesy' resignations not allowed. The practice of requiring or submitting undated resignations to be accepted at a later date by the appointing authority or any other person is hereby declared to be contrary to public policy for any position within the Government of Guam. Neither the Governor of Guam nor any other person may request an undated resignation letter or courtesy resignation from any officer or employee of the

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236 Government of Guam, whether the position held by such person
237 is subject to the advice and consent of the Legislature or
238 not; and no officer or employee of the Government of Guam
239 shall submit such an undated resignation letter, and any
240 such undated "Courtesy" resignation letter submitted shall
241 be void."

Section 6. 4 GCA Section 2103(e) is hereby enacted to read:

"Section 2103(e) No Government of Guam official or employee who occupies an unclassified position within the Government of Guam (including positions on boards and commissions which are paid a stipend for each meeting attended), which position is unclassified may fill a permanent classified position with the Government of Guam for a period of one hundred eighty (180) days following termination of his or her employment from the unclassified position. Such a former unclassified official or employee may be hired to fill a classified position during such 180 day period, however the appointment may not be made permanent during such 180 day period, and the person shall be subject to dismissal without cause during the 180 day period, in the same manner as an unclassified employee, notwithstanding any other law, or rule, or regulation of the Government of Guam."

260	Sect	ion 7. 4 GCA Section 2103(f) is hereby enacted to
261	read:	
262		"Section 2103(f) Time limits on Acting Capacity.
263	(1)	The Governor or appointing authority may, by
264		separate appointment, appoint a person in an
265		acting capacity to fill a salaried position which
266		requires the advice and consent of the
267		Legislature. In any twelve month period, no
268		person may serve in such an acting capacity for a
269		total of more than Ninety Days (90 days) plus 3
270		legislative days.
271	(2)	In the event of his or her rejection, a
272		candidate's nomination may be resubmitted by the
273		appointing authority to fill the same position,
274		but the candidate may not function in an acting
275		capacity for that position following such
276		resignation, and may assume the position only when
277		confirmed by the Legislature.
278	(3)	No funds appropriated by law may be expended to
279		pay a salary or any personnel benefits of any kind
280		or to pay someone on payroll or contract who
281		serves in an acting capacity in a position to
282		which he or she was appointed by the Governor and
283		subsequently denied confirmation by the
284		Legislature, for a period of three years following

rejection of his or her appointment by the Legislature.

- (4) No person shall serve more than a total of Ninety (90) days plus three Legislative days during any twelve month period in an acting capacity on any board or commission (which shall include a period in time during which the position was held over, following election of a new Administration). No person may be appointed to serve in an acting capacity on any board or commission unless that person has been nominated by the appointing authority to serve in that position in a permanent capacity.
- (5) An appointed board or commission member may continue to serve in his position as a "holdover" after his or her term has expired, in an acting holdover capacity, until he or another person is appointed and confirmed by the Legislature, provided, that the time limits imposed by law on acting appointments shall apply.
- (6) the ninety (90) calendar days plus the 3 (three)

 Legislative days limitation period for serving in
 an acting capacity shall not be tolled due to the
 fact that another person is serving in a temporary
 acting capacity during the nominee's absence nor

310	shall it be tolled by the appointment of some
311	other person to temporarily fill the position in
312	an acting capacity."
313	Section 8. 4 GCA Section 2103(g) is hereby enacted t

Section 8. 4 GCA Section 2103(g) is hereby enacted to read:

"Section 2103(g). Withdrawal of Nomination. The appointing authority or the appointee may withdraw a Nomination Letter at any time prior to confirmation by delivering a letter of withdrawal to the Speaker. The withdrawal shall become effective upon receipt by the Speaker, effected in the same manner as submission. A person whose nomination has been withdrawn may neither serve nor be reappointed to serve in an acting capacity for that position until the Legislature confirms a nominee to fill that position permanently."

Section 9. 4 GCA Section 2103(h) is hereby enacted to read:

"Section 2103(h) Deputy may become acting. If, upon the expiration of the maximum term for serving in an acting capacity, a nominee to an approved position has not been confirmed, any person appointed to a deputy position, if such exists, may assume the position in an acting capacity for the maximum term provided by this Act, pending completion of the confirmation process.

335 **Section 10.** Section 4 GCA 4118 is hereby recodified as 4 GCA 2103(i), and is hereby amended to read:

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"Section 2103(i) No [employee of a department, agency or instrumentality of the Government of Guam] person who shall be appointed to a position which requires advice and consent of the legislature [by a cognizant authority to serve temporarily] may serve in an acting capacity as head of a department, agency or instrumentality for a period in excess of [one year] ninety (90) days plus three legislative days during any twelve month period pursuant to any authority whatsoever. Any employee so appointed [has] shall have the right to return to the position the employee held immediately before the appointment to serve in an acting capacity after the <u>ninety (90) day plus three legislative</u> day period is exhausted. The time limitation of this section may not be circumvented by an interruption in the appointment to an acting capacity or by transfer back to the position the employee held immediately before the appointment for a period of less than thirty (30) days or by a temporary transfer to any other position.

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Section 11. 4 GCA Section 2103(j) is hereby enacted to read:

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359 "Section 2103(j) Convictions. If any person 360 whose name is submitted to the Legislature for confirmation 361 is convicted of any felony, misdemeanor involving moral turpitude, or of any offense or violation involving 362 narcotics while the nomination is pending, the nomination 363 shall be considered automatically withdrawn upon such 364 365 conviction. The appointing authority may, however, resubmit 366 such nomination to the Legislature thereafter." 367 368 Section 12. Section 2103(k) is added to Title 4 GCA 369 and read as: 370 "Section 2103(k) No unclassified employee or 371 officer of the Government of Guam may receive a retroactive 372 pay increase unless specifically authorized by statute." 373 Section 13. Section 2106 of Title 4 GCA is recodified 374 as 2103(1) of 4 GCA, and is amended to read: "2103(1). Duration of unclassified Appointments and 375 376 controls. All contracts for personal services for the 377 Government of Guam and all [statutory] employment within the 378 unclassified service of the Government of Guam, including autonomous and semi-autonomous agencies, where no specific 379 380 term of appointment or employment is specified in law, as to 381 that particular and specific position, shall be at the 382 pleasure of the appointing authority. No employment

agreement, however described, shall provide for any other

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repealed.

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384 term, nor shall such agreement prohibit the appointing 385 authority from exercising its [pleasure] discretion in terminating said employment agreement. Any terms in 386 387 conflict with this Section in any employment agreement 388 executed after the effective date of this Section shall be 389 null and void from the beginning." 390 Section 14. Section 2107 of Title 4 GCA is recodified 391 as 4 GCA 2103(m) and is amended to read: 392 "2103(m) Employment and Employment Contracts -393 When Forbidden. "No contract of employment shall be entered 394 into between the Government of Guam and any employee or 395 officer in the unclassified service within the government of 396 Guam unless such employment contract is specifically 397 permitted by law. Such employment shall be effected through 398 the standard form of personnel action. This Section shall 399 not affect the ability of the government to contract for 400 temporary services or for specific contracts not involving 401 an employment relationship with the Government, but shall 402 apply to a contract which is essentially a contract for 403 personal services." 404 Section 15. Because it was declared contrary to the 405 Organic Act in the case of Gutierrez & Miles vs. Torres, Civ 85-0052 (D.C. Guam 1985), Section 2103.2 of 4 GCA is hereby 406

Section 16. When the compiler of laws compiles this act, he shall include a footnote within Chapter Two of Title 4 of the Guam Code Annotated which lists all positions within the Government of Guam which require the advice and consent of the Legislature along with the term of office (which may be indefinite) and the citation to the section of law requiring confirmation. Such footnote shall be for information purposes only, and shall not have any force as law.

Section 17. Effective date and transition provisions:

- a. Any permanent appointment or nomination for appointment which requires the advice and consent of the Legislature which has been delivered to the Speaker of the Legislature prior to the effective date of this act but which has not been confirmed nor confirmation denied as of the effective date of this act may be considered by the Legislature notwithstanding the requirements of 4 GCA 2103(b). In such case, all time limits imposed by this act shall start running as of the effective date of this act.
- (b) As to any person holding an acting position on the effective date of this act, the time limits imposed by this act shall start running as of the effective date of this act.

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